



CENTRE FOR RESEARCH
ANNAUNIVERSITY
CHENNAI - 600 025.



Dr.C.UMARANI
DIRECTOR

Telephone : + 91-44-2235 7354/2235 7366
Fax : + 91-44-2220 1213
Email : dirresearch@annauniv.edu
dirresearch@gmail.com

Date: 27.01.2025

PENALTY FOR NON-COMPLIANCE WITH RESEARCH CONDUCT RULES

As per the Resolution made in the 44th Research Board meeting, it is hereby informed that strict adherence to research conduct rules is essential to uphold the academic integrity and reputation of Anna University. Scholars, Supervisors and Head of the Departments/Institutes concerned are hereby informed that non-compliance with research conduct rules will result in penalties commensurate with the severity of the violation as outlined in the Table below.

Sl. No.	Type of Misconduct	Penalty
1	Concealing the original affiliation details by the Research Supervisors	<ul style="list-style-type: none">• All scholars admitted to Ph.D./M.S. programme under such supervisors during the period shall be moved to a new Supervisor and the Supervisor will be debarred for a period of five years.• If the Supervisor works in other Universities/organizations not attached to Anna University, the Supervisorship offered earlier shall be permanently withdrawn.• The Department/Institute recognition shall be suspended for a period of three years.
2	Forging the documents submitted to Centre for Research	<ul style="list-style-type: none">• Supervisor shall be debarred for a period of five years based on the magnitude of the forgery.• If Doctoral Committee (DC) members are involved, they shall not be permitted to serve as in any DC for a period of five years.• If the Head of the Department of the Supervisor concerned is found involved in the forgery, his/her Supervisorship will be debarred for a period of two years. If not a recognized Supervisor of Anna University, then he/she shall also be prevented from applying for Supervisorship for a period of five years. In addition, the Management of the Institute concerned shall be asked to remove such

		people from being forwarding authorities of the Department/Institute concerned.
3	Deriving financial benefits from Scholars for the sake of conducting DC meetings, viva-voce examinations	<ul style="list-style-type: none"> • Legal action shall be initiated against the Supervisor if proven guilty by the committee constituted by the Hon'ble Vice-Chancellor.
4	Ghostwriting	<ul style="list-style-type: none"> • If the Supervisor/DC Member is involved in the misconduct, he/she shall be debarred for a period of five years. Under extreme circumstances, the Supervisor recognition shall be withdrawn. • The registration of the scholar shall be cancelled if proven guilty.
5	Plagiarism / Data manipulation / Non-compliance with ethical standard	<ul style="list-style-type: none"> • Supervisors indulging in the act of Plagiarism, the UGC's norms on academic misconduct in Higher Education shall be followed. <p>Level 0(0 -10%): No penalty Level 1 (11%-40%): Shall be asked to withdraw the manuscript Level 2 (41%-60%): Shall be asked to withdraw the manuscript; Shall be denied a right to one annual increment. Shall not be allowed to be a supervisor to any new Master's/Ph.D. Student/scholar for a period of two years. Level 3 (>60%) Shall be asked to withdraw the manuscript; Shall be denied a right to two successive annual increment. Shall not be allowed to be a supervisor to any new Master's/Ph.D. Student/scholar for a period of three years.</p> <ul style="list-style-type: none"> • Scholars involving in Data manipulation and not complying with ethical standards shall be warned. Continued involvement shall result in debarment for a period of two years. • Scholars indulging in the act of Plagiarism, the UGC's norms on academic misconduct in Higher Education shall be followed. <p>Level 0(0 -10%): No penalty Level 1 (11%-40%): Resubmit the thesis within a period of 6 months Level 2 (41%-60%): Thesis shall be rejected and the scholar shall be prevented from</p>

		<p>resubmission for a period of one year.</p> <p>Level 3 (>60%) Registration shall be cancelled.</p>
6	<p>Full-Time scholars working in organizations/industries without prior information to Centre for Research and not availing break of study/change of category.</p>	<ul style="list-style-type: none"> • The period of work shall not be counted for minimum duration calculation. The scholar shall be warned during the first instance and continued involvement shall result in the cancellation of registration. Further, in the event of failure to report before the maximum duration of the programme, the registration shall be cancelled. • Supervisors hiding the migration of the scholar and approving progress reports with false information about the scholar's employment shall be permanently debarred from guiding the scholars. • Head of the Department of the Supervisor concerned will be debarred for a period of two years for false certification regarding the scholar's attendance/employment. If not a recognized Supervisor of Anna University, then he/she shall also be prevented from applying for Supervisorship for a period of five years. In addition, the Management of the Institute concerned shall be asked to remove such people from being forwarding authorities of the Department/Institute concerned. • Head of the Institute will be warned during the first time and continued involvement will result in the cancellation of research department/institute recognition.
7	<p>Completing Course works through special electives or not taking course works (other than Swayam-NPTEL) along with PG students.</p>	<ul style="list-style-type: none"> • The scholar has to redo the course works as per the Ph.D. regulations of Anna University. • Supervisor shall be warned during the first instance. Continued involvement in such misconduct will result in debarment of the Supervisor.

8	Harassment in any form (verbal/sexual)	<ul style="list-style-type: none"> • The Supervisor shall be penalized based on the recommendations of the committee constituted by the Hon'ble Vice-Chancellor. Under extreme conditions, the Supervisorship shall be cancelled. • The victim scholar shall be assigned a new Supervisor or a Research Coordinator for the smooth completion of the Ph.D. programme. • If the scholar is involved in academic bullying/harassment and providing false allegations against the Supervisor, the registration of such scholar shall be cancelled, if proven guilty.
9	Non-submission of Progress Report	<ul style="list-style-type: none"> • Scholars shall be warned during the first instance. Liable for Cancellation (LFC) shall be triggered during the second instance. If the scholar did not respond to the LFC within the due date, the registration shall be cancelled without further notice. • Supervisors shall be warned for the act of the scholars during the second instance.
10	Non-payment of Fees	<ul style="list-style-type: none"> • Scholars shall be warned during the first instance. Liable for Cancellation (LFC) shall be triggered during the second instance. If the scholar did not respond to the LFC within the due date, the registration shall be cancelled without further notice.

Sd/-
DIRECTOR (RESEARCH)