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**Lr. No.CR/WSC/AMENDMENTS-1/2015**

**15.06.2015**

**CIRCULAR**

The Ph.D. and M.S. (By Research) Regulations are amended as follows with immediate effect. The amendments are applicable to **all the research scholars** registered at Anna University/erstwhile AUTs **irrespective of the year of admission.**

Existing Norms	Revised Norms
<b>Clause 3.2.1</b>	
Full-time teachers of University Departments / University Colleges / Colleges affiliated to the University/Government /Government aided Polytechnic	Full-time teachers of University Departments / University Colleges / Colleges affiliated to the University and Government /Government aided/Self-financing Polytechnic Colleges within Tamil Nadu
<b>Clause 7.5.1</b>	
Supervisors working in recognized Nationalized Laboratories such as SERC, IGCAR, etc., situated within Tamil Nadu shall also function as Supervisor, However, for such supervisors, a recognized supervisor from University Departments or from affiliated Engineering Colleges as Joint Supervisor is mandatory.	Supervisors working in recognized Nationalized Laboratories situated within Tamil Nadu shall also function as Supervisor. However, for such supervisors, a recognized supervisor from University Departments or from affiliated Engineering Colleges as Joint Supervisor is mandatory.
<b>Clause 7.9</b>	
<b>Nil</b>	Any violation of Ph.D. regulations by the Supervisor/Joint Supervisor shall lead to the withdrawal of his/her supervisorship either permanently or for a maximum period of five years and he/she shall be debarred from guiding the scholars for any research programme in the University till such period, with the approval of

	Vice-Chancellor.
<b>Clause 8.3</b>	
<p>When a Supervisor retires from service on superannuation or leaves service, he/she shall make arrangements for an alternate Supervisor for his/her scholar. The Supervisor who retired from service shall continue to guide a scholar on his/her written request, provided the scholar has submitted the Synopsis or if the Supervisor is continuing in the same Department as Emeritus Professor/Visiting Faculty/ joined in the affiliated Colleges of the University. Otherwise, the scholars shall be permitted to continue to work and submit their thesis under the guidance of a retired Supervisor only upto a maximum period of six months from the date of his/her retirement. However, a Joint Supervisor shall be nominated by the Director (Research) based on the request of the Supervisor and/or the recommendation of the Head of the Department of the Supervisor. After six months of such nomination, the Joint Supervisor shall become the Supervisor.</p>	<p>The Supervisor who retires from service shall continue to guide a scholar already registered under him/her provided the provisional registration of the scholar is confirmed, upto a maximum period of <b>one year</b> from the date of his/her retirement on his/her written request. If the scholar has not submitted the thesis within <b>one year</b>, a <b>Joint Supervisor</b> shall be nominated by the Director (Research) based on the request of the Supervisor and/or the recommendation of the Head of the Department of the Supervisor.</p>
<b>Clause 18.4</b>	
<p>The Thesis shall be scrutinized by a Scrutiny Committee constituted by the Vice-Chancellor to assess the overall layout, contents and the quality of presentation of the Thesis. The deviation, if any, shall be rectified by the scholar in consultation with the Supervisor and the same shall be approved by the Director (Research) and three copies of the corrected thesis shall be submitted. Soft copy of the thesis, abstract of the Thesis in English and Tamil shall be uploaded in the University website.</p>	<p>The Thesis shall be scrutinized to assess the overall layout, contents and the quality of presentation of the Thesis. The deviation, if any, shall be rectified by the scholar in consultation with the Supervisor and the same shall be approved by the Director (Research) and three copies of the corrected thesis shall be submitted. Soft copy of the thesis, abstract of the Thesis in English and Tamil shall be uploaded in the University website.</p>
<b>Clause 7.1</b>	
<p>The applicant should possess Ph.D. degree in the relevant area of research in which he/she proposes to guide the scholar.</p>	<p>The applicant should possess Ph.D. degree in the relevant area of research in which he/she proposes to guide the scholar. The applicant shall submit the proof for Ph.D. degree recognition from UGC, if the Ph.D. degree is obtained from University other than State or</p>

	Central University/Institutions.
<b>Clause 7.3</b>	
The applicant shall have a minimum of two publications to his/her credit in referred impact factor journals in Annexure I (as given in the website) (or) The applicant shall have a minimum of one publication in referred impact factor journals in Annexure I (as given in the website) and minimum of two publications in Annexure II (as given in the website). Publications should be in their field of specialization. Applicant shall be the first/second (in case if his/her scholar is the first author) /corresponding author in the publications.	The applicant shall have a minimum of two publications to his/her credit in referred impact factor journals in Annexure I (as given in the website). Research articles (and the journal concerned) should be in their field of specialization. Applicant shall be the first/second (in case if his/her scholar/Supervisor is the first author) /corresponding author in the publications. If self-plagiarism/ plagiarism is ascertained in the publications by the concerned Chairperson, such publication(s) shall not be considered for processing of Supervisor recognition application.

The norms for printing of Ph.D./M.S. (By Research) thesis is revised as follows:

<b>Existing Norms</b>	<b>Proposed Norms</b>
Thesis (3 copies) should be bound with black calico cloth and using flexible cover of thick white art paper.	<u>Thesis (3 copies) side pinning/stitching, covered with wrapper printed on 300 gsm white art card and outer side gloss laminated, adhesive binding.</u>

Amendment to M.S. (Research) Regulations as given below:

<b>Existing Norms</b>	<b>Proposed Norms</b>
<b>Clause 19.1</b>	
The Thesis shall be referred to two examiners nominated by the Chairperson of the concerned Faculty from the panel of examiners recommended by the Monitoring Committee. The Chairperson of the concerned Faculty, if deems it necessary, may also nominate the examiners from outside the panel.	The Thesis shall be referred to two examiners nominated by the <b>Vice-Chancellor</b> from the panel of examiners recommended by the Monitoring Committee. The <b>Vice-Chancellor</b> , if deems it necessary, may also nominate the examiners from outside the panel.
<b>Clause 19.6.2</b>	
If one examiner recommends the award of the degree while the other recommends rejection, then the Thesis shall be referred to the third examiner to	If one examiner recommends the award of the degree while the other recommends rejection, then the Thesis shall be referred to the third

<p>be nominated by the Chairman/ Chairperson of the concerned Faculty as in Clause 19.1. If two of the three examiners recommend the award, the Thesis shall be provisionally accepted. If two of the examiners recommend rejection, the Thesis shall be rejected and the registration of the scholar shall stand cancelled.</p>	<p>examiner to be nominated by <b>Vice-Chancellor</b> as in Clause 19.1. If two of the three examiners recommend the award, the Thesis shall be provisionally accepted. If two of the examiners recommend rejection, the Thesis shall be rejected and the registration of the scholar shall stand cancelled.</p>																								
<p><b>Clause 20.1</b></p>																									
<p>On receipt of the evaluation reports, the Monitoring Committee shall meet <b>within three months</b> and recommend a panel of three experts (Other than the Parent Institution) from different recognized institutions within India, along with their publication details in the last five years for constitution of an Oral Examination Board. No two experts shall be from the same Institution. The Chairperson of the concerned Faculty nominates one member from the panel of experts recommended by the Monitoring Committee. The Chairperson of the concerned Faculty, if deems it necessary shall nominate a member from outside the panel.</p>	<p>On receipt of the evaluation reports, the Monitoring Committee shall meet <b>within three months</b> and recommend a panel of three experts (Other than the Parent Institution) from different recognized institutions within India, along with their publication details in the last five years for constitution of an Oral Examination Board. No two experts shall be from the same Institution. The <b>Vice-Chancellor</b> nominates one member from the panel of experts recommended by the Monitoring Committee. The <b>Vice-Chancellor</b>, if deems it necessary shall nominate a member from outside the panel.</p>																								
<p><b>Clause 20.2</b></p>																									
<p>The Oral Examination Board shall be constituted by the Chairperson of the concerned Faculty as follows:</p> <table border="1" data-bbox="151 1360 789 1717"> <tr> <td>a.</td> <td>One examiner of the Thesis</td> <td>Member</td> </tr> <tr> <td>b.</td> <td>An expert from a recognized institution from the panel</td> <td>Member</td> </tr> <tr> <td>c.</td> <td>Supervisor of the scholar</td> <td>Convener</td> </tr> <tr> <td>d.</td> <td>Joint Supervisor of the scholar, if applicable</td> <td>Member</td> </tr> </table>	a.	One examiner of the Thesis	Member	b.	An expert from a recognized institution from the panel	Member	c.	Supervisor of the scholar	Convener	d.	Joint Supervisor of the scholar, if applicable	Member	<p>The Oral Examination Board shall be constituted by the <b>Vice-Chancellor</b> as follows:</p> <table border="1" data-bbox="846 1360 1430 1717"> <tr> <td>a.</td> <td>One examiner of the Thesis</td> <td>Member</td> </tr> <tr> <td>b.</td> <td><b><u>An expert from a recognized institution</u></b></td> <td>Member</td> </tr> <tr> <td>c.</td> <td>Supervisor of the scholar</td> <td>Convener</td> </tr> <tr> <td>d.</td> <td>Joint Supervisor of the scholar, if applicable</td> <td>Member</td> </tr> </table>	a.	One examiner of the Thesis	Member	b.	<b><u>An expert from a recognized institution</u></b>	Member	c.	Supervisor of the scholar	Convener	d.	Joint Supervisor of the scholar, if applicable	Member
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<p><b>Clause 20.5</b></p>																									
<p>If the Oral Examination Board reports the performance of the scholar as “not satisfactory” then he/she may opt to reappear for the Oral Examination at a later date (not later than six months from the date of the first Oral Examination).</p>	<p>If the Oral Examination Board reports the performance of the scholar as “not satisfactory” then he/she may opt to reappear for the Oral Examination at a later date (not later than six months from the date of the first Oral</p>																								

On the second occasion, the Oral Examination Board shall include one more expert nominated by the Chairperson of the concerned Faculty.	Examination). On the second occasion, the Oral Examination Board shall include one more expert nominated by the <b>Vice-Chancellor</b> .
<b>Clause 20.6</b>	
If the performance of the scholar in the Oral Examination in the second occasion also reported to be “not satisfactory”, the Chairperson of the concerned Faculty, if deems it necessary, shall refer the remarks of the Oral Examination Board, along with the Thesis and comments of the Examiners, to a Committee constituted for this purpose and the decision of the Chairperson of the concerned Faculty shall be final.	If the performance of the scholar in the Oral Examination in the second occasion also reported to be “not satisfactory”, the <b>Vice-Chancellor</b> , if deems it necessary, shall refer the remarks of the Oral Examination Board along with the Thesis and comments of the Examiners to a Committee constituted for this purpose and the decision of the <b>Vice-Chancellor</b> shall be final.

Amendment to Ph.D. Regulations as given below:

<b>Clause 17.1</b>	
The scholar shall be permitted to submit the Synopsis only after obtaining the confirmation of provisional registration and completion of the minimum duration of the programme applicable to the scholar. However, a scholar shall be permitted to submit the Synopsis (after obtaining confirmation) three months prior to the completion of his/her minimum duration, provided the scholar has published two research articles in referred impact factor journals in the field of specialization as first author or second author, if the Supervisor is first author, based on his/her research work and specifically recommended by the Doctoral Committee.	The scholar shall be permitted to submit the Synopsis only after obtaining the confirmation of provisional registration and completion of the minimum duration of the programme applicable to the scholar. However, a scholar shall be permitted to submit the Synopsis (after obtaining confirmation) three months prior to the completion of his/her minimum duration, provided the scholar has published two research articles (and the journal concerned) in referred impact factor journals in the field of specialization as first author or second author, if the Supervisor is first author, based on his/her research work and specifically recommended by the Doctoral Committee. Publications of the scholars where a PG student is a corresponding author shall not be considered for processing of his/her Synopsis.
<b>Clause 17.2</b>	
The Synopsis shall be accepted only when the scholar has published atleast one research article after joining the programme in a referred impact factor journal in the field of specialization as first	The Synopsis shall be accepted only when the scholar has published atleast one research article (and the journal concerned) after joining the programme in a referred impact factor journal in

<p>author or second author, if the Supervisor is first author or one patent granted based on his/her research work.</p>	<p>the field of specialization as first author or second author, if the Supervisor is first author or one patent granted based on his/her research work. Publications of the scholars where a PG student is a corresponding author shall not be considered for processing of his/her Synopsis.</p>
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**DIRECTOR (RESEARCH)**