Insights on Ph.D. Regulations

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• AU - 4th September 1978

- Affiliated type of Technical University in the Country (from 01-08-2012 onwards)
- 34 University Departments grouped under 8 Faculties & 50+ Autonomous Centres
- 2000+ Faculty / Staff Members and around 20,000 students
- 28 UG & 77 PG programmes – FT and 7 UG & 17 PG programmes – PT
- UDs offer Ph.D. in all Engg and Tech disciplines including Applied Sciences and Humanities
Total: 15289
CFR : E-Governance Function

1. Ph.D. Admission
2. 1st DC Meeting
3. Completion of CWs
4. Completion of AC meeting
5. 1st Open Seminar
6. Comprehensive Examination
7. Confirmation Meeting
8. 2nd Open Seminar
9. Synopsis Meeting
10. DC Meeting
11. Oral Examination

1. within 3 weeks from the issuance of PR
2. within 2 years
3. within 6 months from the CW results declaration
4. within 2 months from the conduct of Comp. Exam.
5. 1.9 to 6 years – FT (E&T)
6. 2.9 to 6 years – FT (S&H)
7. 2.9 to 6 years – PT (E&T)
8. 3.9 to 6 years – PT (S&H)

Anna University
• Regulations for the Degree of Doctor of Philosophy (Ph.D.) provide information to prospective scholars about the Ph.D programmes in different branches of Engineering, Technology and Science and Humanities.

• The Regulations govern the conditions for imparting courses of instruction, conduct of the examinations and evaluation to research scholar’s performance leading to a Ph.D. Degree.

• UGC 2016 & UGC Gazette Notification

• The regulations hereunder are subject to amendments by the Research Board (RB) of the University, from time to time, after considering the recommendations of the Board of Management.

  https://cfr.annauniv.edu/research/regulation/Ph.D-Regulation.pdf
• Clause 1 – General Eligibility

• Clause 2 – Educational Qualifications

• Clause 3 - Mode of Ph.D. Admission

  Clause 3.1 – Full Time (it includes 3.1.1 to 3.1.7 sub clauses)
  Clause 3.2 – Part Time
    3.2.1. PT Internal Scholars
    3.2.2. PT External Scholars
    3.2.3 Research Scholar working place
  Clause 3.3 – Change of Category
• Clause 4 – Research Department Recognition

  Clause 4.1 – Norms for Research Department Recognition

  Clause 4.2 – Norms for Renewal of Research Department Recognition

• Clause 5 – Mode of Selection

• Clause 6 – Admission

• Clause 7 – Supervisor Recognition

  Asst./Asso. Professor - 2 research publications in regular issue

  Professor - 5 research publications in regular issue
**Clause 8** – Change of Supervisor (it includes 8.1. to 8.10 sub clauses)

Clause 4.1 – Norms for Research Department Recognition

Clause 4.2 – Norms for Renewal of Research Department Recognition

**Clause 9** – Number of Scholars

Prof. (11) / Asso. Prof. (8) / Asst. Prof. (5)

**Clause 10** – Duration of the Programme

Engg. / Arch. & Planning / Tech. / Agri. / Medicine :: 2 (FT) & 3 (PT)

<table>
<thead>
<tr>
<th>Sl. No.</th>
<th>Stream</th>
<th>Duration</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Minimum</td>
</tr>
<tr>
<td>1</td>
<td>Engineering</td>
<td><strong>TWO</strong> years for FT Scholars</td>
</tr>
<tr>
<td></td>
<td>Technology</td>
<td><strong>THREE</strong> years for PT Scholars</td>
</tr>
<tr>
<td></td>
<td>Architecture and Planning</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Agriculture / Medicine</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Science &amp; Humanities</td>
<td><strong>THREE</strong> years for FT Scholars</td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>FOUR</strong> years for PT Scholars</td>
</tr>
<tr>
<td>3</td>
<td>MBA</td>
<td>Conditions based on Sl. No. 1 and 2</td>
</tr>
</tbody>
</table>
• **Clause 11** – Extension of Maximum Duration

  6 years → 7 years → 7.6 years

• **Clause 12** – Doctoral Committee

  Supervisor + AU Faculty + Other than AU Faculty

• **Clause 13** – Programme Structure

  (deals about Course work)

• **Clause 14** – Research Outside the University

  Max. ONE year is allowed
Clause 15 – Monitoring the Progress of the Scholar
15 days leave / semester

Clause 16 – Submission of Synopsis
Either Research paper publication or Granted patent

Clause 17 – Submission of Thesis
(3 copies of the corrected thesis + Soft copy of the thesis, abstract of the Thesis in English and Tamil [to be uploaded])

Clause 18 – Thesis Evaluation

| a. Indian Examiner of the Thesis or an expert from the panel (in the absence of the former) | Member |
| b. An expert from a recognized institution from the panel | Member |
| c. Joint Supervisor of the scholar, if applicable | Member |
| d. Supervisor of the scholar | Convener |
• Clause 19 – Oral Examination

| a. Indian Examiner of the Thesis or an expert from the panel (in the absence of the former) | Member |
| b. An expert from a recognized institution from the panel | Member |
| c. Joint Supervisor of the scholar, if applicable | Member |
| d. Supervisor of the scholar | Convener |

• Clause 20 – Award of Degree
• Clause 21 – Cancellation of Registration
• Clause 22 - Publication of Thesis
• Clause 23 - The Act of Plagiarism

(refer circular dated: 04.02.2022)

Level 0: Similarities up to 10% - no penalty
Level 1: Similarities above 10% to 40% (6 months penalty period)
Level 2: Similarities above 40% to 60% (1 year penalty period)
Level 3: Similarities above 60% - Cancellation of Ph.D. programme

& Clause 24 - Power to Modify
<table>
<thead>
<tr>
<th>Faculty</th>
<th>Chairperson</th>
</tr>
</thead>
<tbody>
<tr>
<td>Architecture &amp; Planning</td>
<td>Dr.K.R.Sitalakshmi</td>
</tr>
<tr>
<td>Civil Engineering</td>
<td>Dr.R.Murugasan</td>
</tr>
<tr>
<td>Electrical Engineering</td>
<td>Dr.S.Usa</td>
</tr>
<tr>
<td>Information &amp; Communication Engg</td>
<td>Dr. Ranjani Parthasarathi</td>
</tr>
<tr>
<td>Mechanical Engineering</td>
<td>Dr. G.Arumaikkannu</td>
</tr>
<tr>
<td>Science &amp; Humanities</td>
<td>Dr.M.Chandrasekar</td>
</tr>
<tr>
<td>Technology</td>
<td>Dr.S.Subramanian</td>
</tr>
<tr>
<td>Management Science</td>
<td>Dr.L.Suganthi</td>
</tr>
</tbody>
</table>
Thank you...

Save Energy....
Save Earth...